

CLIENT REGISTRATION FORM

FOR CORPORATES, HUF, PARTNERSHIP FIRMS AND OTHERS

BRANCH _____

CLIENT CODE _____

NAME _____



BCB BROKERAGE PRIVATE LIMITED

MEMBER :

BOMBAY STOCK EXCHANGE LIMITED (BSE)
NATIONAL STOCK EXCHANGE LIMITED (NSE)

1207/A, P. J. TOWERS, DALAL STREET, MUMBAI - 400 001.

TEL. : 2272 2448 / 2449 / 2450 • FAX : 2272 2451

E-MAIL : ubagri@vsnl.com

CLIENT REGISTRATION FORM FOR CORPORATES, HUF, PARTNERSHIP FIRMS AND OTHERS

(This information is the sole property of the BCB BROKERAGE PRIVATE LIMITED would not be disclosed to anyone unless required by law or except with the express permission of clients)

Documentary Requirements

(Copies of the following documents may be obtained after due verification with the originals thereof)

Proof of Identity

- PAN Card (COMPULSORY)
- Copies of the Memorandum and Articles of Association in case of a company / body incorporate / partnership deed in case of a partnership firm

Proof of Address

- Bank passbook, verified copies of Electricity bills (not more than two months old)/ Leave and License agreement / Agreement for sale/ document with address, issued by a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions

Bank Details

- Copy of cancelled cheque leaf (compulsory) containing name of the client and account number
- In case name not printed, then also attach copy of Bank Passbook/ Bank Statement/ Certificate from Bank
- In case MICR code and ISFC code is not printed on the cheque, kindly attach certificate from the bank

Demat details (In case demat account not with BCB Brokerage Private Limited)

- Copy of DP account opening master/ Holding statement from DP containing name and PAN No. of the Client

Other documents

- Copies of the balance sheet for the last 2 financial years (copies of annual balance sheet to be submitted every year)
- Copy of latest share holding pattern including list of all those holding more than 5% in the share capital of the company, duly certified by the company secretary / Whole –time director / MD. (copy of updated shareholding pattern to be submitted every year)
- Copy of the Resolution of board of directors approving participation in equity / F&O / currency derivatives / debt trading and naming authorized persons for dealing in the same
- HUF declaration

FOR OFFICE PURPOSES	
Unique Client Code	
Brokerage Slab	
Original Documents Verified by	
Authorised by	
In person verification done by	N.A.
Website tally of PAN number done by	
Google search done by	

Kindly affix photograph here Photographs of Partners / Whole time directors, individual promoters holding 5% or more, either directly or indirectly, in the shareholding of the company and of persons authorized to deal in equity / F&O / currency derivatives / debt

(Kindly sign across the photographs)

To

BCB Brokerage Private Limited

Member National Stock Exchange Limited
Bombay Stock Exchange Limited

Registered Office

1207/A, P. J. Towers, Dalal Street, Fort, Mumbai 400 001

SEBI Registration No.

NSE Cash - INB231161135, NSE F&O INF231161135

BSE Cash - INB011161131, BSE Derivatives INF011161131

BSE Currency Exchange INE011161131

You are requested to kindly register me/us as a Client for the Capital Market Segment / Futures and Options Segment of the National Stock Exchange Limited (NSE) / Cash / Derivatives / Currency Derivatives Exchange Segment of Bombay Stock Exchange Limited (BSE) / all other segments of BSE / NSE / other Exchange of which you may be a member/may take membership in the future. *(Please strike off which are not applicable).* My/our details are as under:

Name of the Company/Firm			
Constitution			
Registered Office address (Kindly enclose proof of address)			
	City	Pin Code	
	State	Country	
	Tel.	Fax	Mobile
Correspondence Address, if different (Kindly enclose proof of address)			
	City	Pin Code	
	State	Country	
	Tel.	Fax	Mobile
E-mail ID			
Date of incorporation / formation			
Date of commencement of business (if different)			

Kindly note that all correspondence will be sent to the correspondence address. In case of correspondence not delivered to the address, new orders from the clients may be declined.



Nature of Business	
Registration number (with ROC, SEBI or any government authority)	
Details of PAN Account Number	
Names of Promoters / Directors/Partners/Karta/ co-parceners and residential address	
Names and Designation of persons authorized to deal in securities / derivatives / currency derivatives on behalf of the company / firm / others and their residential address	
Investment / Trading Experience – Please Tick	<input type="checkbox"/> No Prior Experience <input type="checkbox"/> _____ Years in Stocks <input type="checkbox"/> _____ Years in Derivatives <input type="checkbox"/> _____ Years in Other investment related fields

BANK DETAILS (through which transactions will generally be routed.)

Bank Name	
Branch	
Address	
Account No.	
Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Overdraft <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Other (Pls. Specify)
MICR No.	
ISFC Code (For ETF/RTGS/NEFT/etc.)	

Copy of a cancelled Cheque leaf/pass book/bank statement containing name of the constituent should be submitted. In case of multiple bank accounts, kindly provide details of all the bank accounts. Cheques from unregistered banks accounts may not be credited to the client and the position accounts may be squared off.

DEMAT ACCOUNT DETAILS (through which transactions will generally be routed)

Depository	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL
DP Name	
DP ID	
BO Account No.	

In case of multiple demat accounts, kindly provide details of all the demat accounts. Client HAS TO BE one of the joint holders in the account, and preferably the first holder. Shares from unregistered demat accounts may not be accepted for client's pay-in and the position may be auctioned



REGISTRATION WITH OTHER BROKER / MEMBERS (if any)

Name of Broker	
Name of Exchange	
Client Code No.	

(If registered with multiple members, provide details of all)

Details of any action taken by SEBI/Stock exchange/any other authority against the constituent or its partners / promoters / whole time directors / authorized persons in charge of dealing in equities/ F&O / currency derivatives for violation of laws / other economic offences

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REFERENCES

Introduced by	<input type="checkbox"/> Another constituent
	<input type="checkbox"/> Director or employee of trading member
	<input type="checkbox"/> Any other person (please specify)
Name of introducer	(Surname) (Name) (Middle name, if any)
Signature and PAN No. of introducer	
Unique Client code of introducer, if any	
Name and designation of the employee who interviewed the client	Name
	Designation
Signature of the employee	

Declaration

I / We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief and I / We undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am / We are aware that I / We may be held liable for it.

Name : _____

Place : _____

Date : _____



Signature of Authorised Signatory
(with company seal)

MODEL BOARD RESOLUTION (On Letterhead)
(Format may be suitably modified without any change in the substantive clauses)

CERTIFIED TRUE COPY OF AN EXTRACT FROM THE MINUTES OF A MEETING OF THE BOARD OF DIRECTORS OF _____ LIMITED DULY CONVENED AT WHICH PROPER QUORUM WAS PRESENT, HELD AT THE _____ OFFICE OF THE COMPANY AT _____

ON _____ DATE AT _____ TIME

RESOLVED THAT A SECURITIES TRADING ACCOUNT AND A DEMAT ACCOUNT OF THE COMPANY BE OPENED WITH BCB BROKERAGE PRIVATE LIMITED.

TO TRADE AS A CLIENT IN THE CAPITAL MARKET SEGMENT AND FUTURES AND OPTIONS SEGMENT OF THE NATIONAL STOCK EXCHANGE LIMITED (NSE), CASH, DERIVATIVES AND CURRENCY EXCHANGE SEGMENTS OF BOMBAY STOCK EXCHANGE LIMITED (BSE) AND ALL OTHER SEGMENTS OF BSE AND NSE OR ANY OTHER EXCHANGE OF WHICH BCB BROKERAGE PRIVATE LIMITED MAY TAKE MEMBERSHIP IN THE FUTURE.

RESOLVED FURTHER THAT ANY ONE OF THE FOLLOWING BE AND ARE HEREBY AUTHORIZED TO OPEN AND OPERATE THE SAID DEMAT ACOCUNTS AND TRADING ACCOUNTS.

	Name	Designation	PAN Number	Specimen Signature
1				
2				
3				

RESOLVED FURTHER THAT A POWER OF ATTORNEY BE GIVEN TO BCB BROKERAGE PRIVATE LIMITED FOR OPERATING THE SAID DEMAT ACCOUNT ON BEHALF OF THE COMPANY.

RESOLVED FURTHER THAT COPY OF THE RESOLUTION CERTIFIED AS TRUE BY THE CHAIRMAN OF THE MEETING BE PROVIDED TO BCB BROKERAGE PRIVATE LIMITED.

CERTIFIED TRUE COPY

(Chairman of the meeting)

Place :

Date :

From

To

BCB Brokerage Private Limited
1207/A, P.J.Towers, Dalal Street,
Fort, Mumbai 400 001

DECLARATION OF DETAILS OF MEMBERS OF HUF
For Opening Trading / Demat Account

Kindly take the following declaration on your record

Name of the HUF	
PAN Number of the HUF	
Name of the Karta	
PAN Number of Karta	

Details of Members of the HUF

Sr. No.	Name of the Member	Date of Birth	Relationship with Karta	Signature (not required in case of minor)
1				
2				
3				
4				
5				
6				

Signature of Karta with Stamp

Place :

Date :