

BCB BROKERAGE PRIVATE LIMITED
POLICY FOR CLIENT CODE MODIFICATION
(Applicable for all exchange/segment)
Version 2.0

- Genuine punching errors in client codes while placing orders shall be allowed to be modified.
- Client code modification facilities shall be disabled from all the dealer terminals.
- Client code modification shall be carried out only after prior permission from Mr. Uttam Bagri, Director
- While carrying out code modification, genuineness of the punching errors shall be verified against corroborative circumstantial evidences like similarities of codes, trades in immediately preceding codes, square off trades without holdings or position or any such other evidences shall have to be taken into account.
- Complete records of daily online trade modifications shall be maintained in soft form.
- All such Genuine Error code modification shall be carried out through UCC registered 'ERROR' account. Any rate difference including transactions costs shall be settled between the concerned accounts
- The Penalties, if any charged by the Exchange/Any Regulatory authorities shall be passed on to the concerned client/sub-broker if the reason of the error is attributable to any negligence on their part else shall be borne by BCB Brokerage Private Limited

Sd/-
Uttam Bagri
Director BCB Brokerage Private Limited

The above policy was approved at the Board meeting held on 31 March 2014 in replacement of the policy Version 1.0 originally approved at Board meeting held on 26th August, 2011