

BCB BROKERAGE PRIVATE LIMITED
RISK MANAGEMENT POLICY
31March2014

BCB Brokerage Private Limited follows a dealer wise risk control mechanism. Accordingly, the risk management parameters are set as under

Dealer wise limits

Limits will set branch/ dealer wise. The default branch limit would be an exposure of Rs. 25 lakh. Any further exposure or reduction in the exposure limit to be decided by Director Shri Uttam Bagri based on the dealer track record and margin available

Client wise limits

Internet trading clients will be set limits according to their track record and margin available. For any client continuously in debit for more than 5 days, further buying is to be stopped immediately. In case of clients in debit for more than 15 days without prior approval of Manager(Risk), total operations may be stopped and the accounts to be squared off after due notice unless approved by. In case of account still being in debit, notices to be issued and thereafter arbitration to be filed

Client mapping

Codes to be mapped to the respective dealers only and not to any other entity

Internet trading clients

Limits to internet trading clients to be set by Officer (Risk) in consultation with the Branch Head

Exceptional circumstances

All exceptional circumstances to be escalated to Director Shri Uttam Bagri

For BCB Brokerage Private Limited

Sd/-
(Uttam Bagri)
Director